

1 Table 1 Top five execution venues ranked in terms of trading volumes – Retail clients

Class of instrument	Equity – Shares & Depositary Receipts (T12)- execution				
Notification if < 1 average trade per business day in the previous year	N				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
WINTERFLOOD SECURITIES LIMITED 8BRUP6V1DX3PIG2R0745	29.27	38.47	7.83	81.22	0
PEEL HUNT LLP 5493007DWN0R4YBM4C84	24.54	17.65	5.69	84.32	0
CANACCORD GENUITY LIMITED ZBU7V5NIMN4ILRFC23	11.09	1.23	7.82	69.22	0
CANTOR FITZGERALD EUROPE 549300KM6VUHPKQLX53	7.97	11.43	5.29	84.76	0
NPLUS1 SINGER CAPITAL MARKETS LIMITED 213800ITO7QMNQ9SFG53	6.1	7.89	7.45	79.57	0

2 Table 1 Top five execution venues ranked in terms of trading volumes – Retail clients

Class of instrument	Equity – Shares & Depositary Receipts (T34)- execution				
Notification if < 1 average trade per business day in the previous year	N				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
WINTERFLOOD SECURITIES LIMITED 8BRUP6V1DX3PIG2R0745	40.9	45.3	7.69	82.65	0
PEEL HUNT LLP 5493007DWN0R4YBM4C84	28.72	26.11	6.74	79.32	0
SHORE CAPITAL STOCKBROKERS LIMITED 2138009KZO3MK7TV6372	6.64	8.36	5.64	88.09	0
NPLUS1 SINGER CAPITAL MARKETS LIMITED 213800ITO7QMNQ9SFG53	3.8	3.74	7.44	83.46	0
CANTOR FITZGERALD EUROPE 549300KM6VUHPKQLX53	3.49	4.06	5.57	85.01	0

3 Table 1 Top five execution venues ranked in terms of trading volumes – Retail clients

Class of instrument	Equity – Shares & Depositary Receipts (T56)- execution				
Notification if < 1 average trade per business day in the previous year	N				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
WINTERFLOOD SECURITIES LIMITED 8BRUP6V1DX3PIG2R0745	51.9	58.93	7.83	82.04	0
PEEL HUNT LLP 5493007DWN0R4YBM4C84	21.74	21.9	10.46	76.8	0.01
SHORE CAPITAL STOCKBROKERS LIMITED 2138009KZO3MK7TV6372	9.72	5.75	7.6	90.52	0
INVESTEC BANK PLC 8450VF8TSMH0T6D4K848	5.43	3.84	7.3	91.34	0
STIFEL NICOLAUS EUROPE LIMITED 213800BVEFNZ8UYPKL03	4.87	5.17	11.25	77.23	0

4 Table 1 Top five execution venues ranked in terms of trading volumes – Retail clients

Class of instrument	Equity – Shares & Depositary Receipts (T12) - placement/transmission				
Notification if < 1 average trade per business day in the previous year	N				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
JARVIS INVESTMENT MANAGEMENT LIMITED 213800HBDYZM8J5CHJ19	96.37	60.87	7.14	85.71	0
IG MARKETS LIMITED 21380017XKSVQ3LC3V75	2.58	26.08	33.33	66.67	0
PERSHING SECURITIES LIMITED 549300NC3GURN0AEZU06	1.02	4.35	0	100	0
THE SHARE CENTRE LIMITED 213800QSAMQL5CUAFJ35	0.03	4.35	0	100	0
INSTINET EUROPE LIMITED 213800MXAKR2LA1VBM44	0	4.35	0	0	0

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Class of instrument	Equity – Shares & Depositary Receipts (T34) - placement/transmission				
Notification if < 1 average trade per business day in the previous year	N				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
JARVIS INVESTMENT MANAGEMENT LIMITED 213800HBDYZM8J5CHJ19	100	100	6.67	93.33	0

6 Table 1 Top five execution venues ranked in terms of trading volumes – Retail clients

Class of instrument	Equity – Shares & Depositary Receipts (T56) - placement/transmission				
Notification if < 1 average trade per business day in the previous year	N				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
JARVIS INVESTMENT MANAGEMENT LIMITED 213800HBDYZM8J5CHJ19	100	100	20	80	0

7 Table 1 Top five execution venues ranked in terms of trading volumes – Retail clients

Class of instrument	Equity – Exchange-traded products (Exchange traded funds, exchange traded notes and exchange traded commodities) – execution				
Notification if < 1 average trade per business day in the previous year	N				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
WINTERFLOOD SECURITIES LIMITED 8BRUP6V1DX3PIG2R0745	40.34	48.56	10.45	81.96	0
PEEL HUNT LLP 5493007DWN0R4YBM4C84	35.87	23.4	14.65	80.22	0
STIFEL NICOLAUS EUROPE LIMITED 213800BVEFNZ8UYPKL03	14.13	11.88	3.32	79.37	0
INVESTEC BANK PLC 84S0VF8TSMH0T6D4K848	6.88	12.25	5.87	93.99	0
WINTERFLOOD GILTS LIMITED 213800BD519H2YV9R748	2.67	3.86	0.89	92.44	0

8 Table 1 Top five execution venues ranked in terms of trading volumes – Retail clients

Class of instrument	Equity – Exchange-traded products (Exchange traded funds, exchange traded notes and exchange traded commodities) – placement/transmission				
Notification if < 1 average trade per business day in the previous year	N				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
SG OPTION EUROPE 969500FDN8G43HMHZM83	100	100	0	100	0

9 Table 1 Top five execution venues ranked in terms of trading volumes – Retail clients

Class of instrument	Debt – bonds – execution				
Notification if < 1 average trade per business day in the previous year	N				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
KING & SHAXSON LIMITED 213800ARTVZQ5ADRZ559	40.07	5.84	6.06	87.88	0
WINTERFLOOD SECURITIES LIMITED 8BRUP6V1DX3PIG2R0745	26.51	37.88	6.54	84.58	0
FBN BANK (UK) LIMITED 213800DD8GVBIP1HM239	15.6	1.59	0	100	0
PEEL HUNT LLP 5493007DWN0R4YBM4C84	10.06	26.72	0.66	80.13	0
CANACCORD GENUITY LIMITED ZBU7V5V5NIMN41LRF23	5.12	15.75	2.25	87.64	0

10 Table 1 Top five execution venues ranked in terms of trading volumes – Retail clients

Class of instrument	Debt – bonds – placement/transmission				
Notification if < 1 average trade per business day in the previous year	Y				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
NCL INVESTMENTS LIMITED D2F0VEF263OY4T3YK397	92.24	35	0	100	0
PERSHING SECURITIES LIMITED 549300NC3GURN0AEZU06	5.06	5	0	100	0
SG OPTION EUROPE 969500FDN8G43HMHZM83	2.69	40	0	100	0
REYKER SECURITIES PLC 213800TWIOR1UEZ6WP12	0.01	20	0	0	0

11 Table 1 Top five execution venues ranked in terms of trading volumes – Retail clients

Class of instrument	Debt – money market instruments – placement/transmission				
Notification if < 1 average trade per business day in the previous year	Y				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
NCL INVESTMENTS LIMITED D2F0VEF263OY4T3YK397	100	100	100	0	0

12 Table 1 Top five execution venues ranked in terms of trading volumes – Retail clients

Class of instrument	Structured Finance instruments – execution				
Notification if < 1 average trade per business day in the previous year	Y				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
WINTERFLOOD SECURITIES LIMITED 8BRUP6V1DX3PIG2R0745	100	100	0	100	0

13 Table 1 Top five execution venues ranked in terms of trading volumes – Retail clients

Class of instrument	Securitized Derivatives, Warrants and Certificate Derivatives – execution				
Notification if < 1 average trade per business day in the previous year	Y				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
WINTERFLOOD SECURITIES LIMITED 8BRUP6V1DX3PIG2R0745	100	100	0	100	0

14 Table 1 Top five execution venues ranked in terms of trading volumes – Retail clients

Class of instrument	Other instruments – execution				
Notification if < 1 average trade per business day in the previous year	Y				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
WINTERFLOOD SECURITIES LIMITED 8BRUP6V1DX3PIG2R0745	22.52	36.9	14.52	77.42	0
PEEL HUNT LLP 5493007DWNOR4YBM4C84	21.78	12.5	4.76	80.95	0
JARVIS INVESTMENT MANAGEMENT LIMITED 213800HBDYZM8J5CHJ19	20.54	3.57	0	0	0
LEGG MASON (CREST ONLY)	5.95	1.19	0	0	0
CANTOR FITZGERALD EUROPE 549300KM6VUHPKQLQX53	5.79	12.5	0	100	0

15 Table 1 Top five execution venues ranked in terms of trading volumes – Retail clients

Class of instrument	Other instruments – placement/ transmission				
Notification if < 1 average trade per business day in the previous year	Y				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
FUNDSMITH LLP 213800M2ZZU4WORQU863	71.64	27.28	0	33.33	0
JPMORGAN ASSET MANAGEMENT INTERNATIONAL LIMITED 549300LRNKNYDM4Q4635	14.93	18.18	0	0	0
THE SHARE CENTRE LIMITED 213800QSAML5CUAFJ35	5.24	27.27	100	0	0
RIVER AND MERCANTILE ASSET MANAGEMENT LLP 213800HIGHFCAY9K8Z97	4.32	9.09	0	100	0
JARVIS INVESTMENT MANAGEMENT LIMITED 213800HBDYZM8J5CHJ19	3.87	18.18	0	100	0

16 Table 2 Top five execution venues ranked in terms of trading volumes – Professional clients

Class of instrument	Equity – Shares & Depository Receipts (T12) - Execution				
Notification if < 1 average trade per business day in the previous year	N				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
WINTERFLOOD SECURITIES LIMITED 8BRUP6V1DX3PIG2R0745	26.01	30.09	5.06	86.8	0
PEEL HUNT LLP 5493007DWN0R4YBM4C84	19.56	20.37	2.07	90.04	0
CANTOR FITZGERALD EUROPE 549300KM6VUHPKQLX53	15.1	17.08	2.48	94.55	0
SHORE CAPITAL STOCKBROKERS LIMITED 2138009KZO3MK7TV6372	13.98	13.95	9.09	83.03	0.61
STIFEL NICOLAUS EUROPE LIMITED 213800BVEFNZ8UYPKL03	11.76	5.5	1.54	96.92	0

17 Table 2 Top five execution venues ranked in terms of trading volumes – Professional clients

Class of instrument	Equity – Shares & Depository Receipts (T34) - Execution				
Notification if < 1 average trade per business day in the previous year	Y				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
WINTERFLOOD SECURITIES LIMITED 8BRUP6V1DX3PIG2R0745	31.23	33.33	1.18	94.12	0
PEEL HUNT LLP 5493007DWN0R4YBM4C84	24.86	28.24	1.39	93.06	0
CANTOR FITZGERALD EUROPE 549300KM6VUHPKQLX53	13.92	10.2	0	96.15	0
SHORE CAPITAL STOCKBROKERS LIMITED 2138009KZO3MK7TV6372	9.11	10.98	3.57	92.86	0
STIFEL NICOLAUS EUROPE LIMITED 213800BVEFNZ8UYPKL03	8.92	4.71	8.33	91.67	0

18 Table 2 Top five execution venues ranked in terms of trading volumes – Professional clients

Class of instrument	Equity – Shares & Depository Receipts (T56) - Execution				
Notification if < 1 average trade per business day in the previous year	N				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
STIFEL NICOLAUS EUROPE LIMITED 213800BVEFNZ8UYPKL03	64.15	57.56	1.01	96.46	0
WINTERFLOOD SECURITIES LIMITED 8BRUP6V1DX3PIG2R0745	24.57	23.84	2.44	89.02	0
PEEL HUNT LLP 5493007DWN0R4YBM4C84	3.46	10.76	5.41	83.78	0
JEFFERIES INTERNATIONAL LIMITED S5THZMDUJCTQZBTRV198	2.96	1.45	0	100	0
NUMIS SECURITIES LIMITED 213800P3F4RT97WDSX47	2.04	0.58	0	100	0

19 Table 2 Top five execution venues ranked in terms of trading volumes – Professional clients

Class of instrument	Equity – Shares & Depositary Receipts (T12) – Placement/transmission				
Notification if < 1 average trade per business day in the previous year	Y				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
JARVIS INVESTMENT MANAGEMENT LIMITED 213800HBDYZM8J5CHJ19	100	100	0	100	0

20 Table 2 Top five execution venues ranked in terms of trading volumes – Professional clients

Class of instrument	Equity – Exchange traded products (Exchange traded funds, exchange traded notes and exchange traded commodities) – execution				
Notification if < 1 average trade per business day in the previous year	Y				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
WINTERFLOOD SECURITIES LIMITED 8BRUP6V1DX3PIG2R0745	69.78	57.14	0	100	0
PEEL HUNT LLP 5493007DWNOR4YBM4C84	27.1	28.57	0	100	0
STIFEL NICOLAUS EUROPE LIMITED 213800BVEFNZ8UYPKL03	2.65	9.53	0	100	0
INVESTEC BANK PLC 8450VF8TSMH0T6D4K848	0.47	4.76	0	100	0

21 Table 2 Top five execution venues ranked in terms of trading volumes – Professional clients

Class of instrument	Debt – bonds – execution				
Notification if < 1 average trade per business day in the previous year	Y				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
KING & SHAXSON LIMITED 213800ARTVZQSADRZ559	38.23	20.83	0	100	0
PEEL HUNT LLP 5493007DWNOR4YBM4C84	36.5	29.17	0	100	0
WINTERFLOOD SECURITIES LIMITED 8BRUP6V1DX3PIG2R0745	23.12	20.83	0	100	0
WINTERFLOOD GILTS LIMITED 213800BD519H2YV9R748	2.15	29.17	0	85.71	0

22 Table 2 Top five execution venues ranked in terms of trading volumes – Professional clients

Class of instrument	Debt – bonds – placement/transmission				
Notification if < 1 average trade per business day in the previous year	Y				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
NCL INVESTMENTS LIMITED D2F0VEF2630Y4T3YK397	100	100	0	0	0

23 Table 2 Top five execution venues ranked in terms of trading volumes – Professional clients

Class of instrument	Other instruments – execution				
Notification if < 1 average trade per business day in the previous year	Y				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
First State Investments Ltd	30.89	33.33	0	0	0
WINTERFLOOD SECURITIES LIMITED 8BRUP6V1DX3PIG2R0745	27.07	33.33	0	50	0
Investec Fund Managers Ltd	21.64	16.67	0	0	0
BLACKROCK INVESTMENT MANAGEMENT (UK) LIMITED 549300QXP30FD81KVQ43	20.4	16.67	0	100	0

24 Table 2 Top five execution venues ranked in terms of trading volumes – Professional clients

Class of instrument	Other instruments – placement/ transmission				
Notification if < 1 average trade per business day in the previous year	Y				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
FIL Investment Services (UK) Limited 213800TWO2EHFEWNF438	67.92	66.67	0	0	0
JPMORGAN ASSET MANAGEMENT INTERNATIONAL LIMITED 549300LRSNKYDM4Q4635	25.51	25	0	0	0
LEGAL & GENERAL (UNIT TRUST MANAGERS) LIMITED 549300AJXEFOY444EO24	6.57	8.33	0	0	0