

1 Table 1 Top five execution venues ranked in terms of trading volumes – Retail clients

Class of instrument	Equity – Shares & Depositary Receipts (T12)- execution				
Notification if < 1 average trade per business day in the previous year	N				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
WINTERFLOOD SECURITIES LIMITED 8BRUP6V1DX3PIG2R0745	24.21	40.2	8.94	91.06	0
PEEL HUNT LLP 5493007DWN0R4YBM4C84	23.23	20.42	6.21	93.79	0
KILLIK & CO LLP 213800ZMQ7HGA4B69H23	15.65	0.01	0	100	0
SHORE CAPITAL STOCKBROKERS LIMITED 2138009KZO3MK7TV6372	6.44	10.93	4.92	95.08	0
NPLUS1 SINGER CAPITAL MARKETS LIMITED 213800ITO7QMNQ9SFG53	5.41	8.65	7.2	92.8	0

2 Table 1 Top five execution venues ranked in terms of trading volumes – Retail clients

Class of instrument	Equity – Shares & Depositary Receipts (T34)- execution				
Notification if < 1 average trade per business day in the previous year	N				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
WINTERFLOOD SECURITIES LIMITED 8BRUP6V1DX3PIG2R0745	38.26	43.48	11.72	88.28	0
PEEL HUNT LLP 5493007DWN0R4YBM4C84	32.9	30.81	9.41	90.59	0
SHORE CAPITAL STOCKBROKERS LIMITED 2138009KZO3MK7TV6372	8.47	7.64	6.36	93.64	0
CANTOR FITZGERALD EUROPE 549300KM6VUHPQLQX53	3.37	3.74	6.68	93.32	0
INVESTEC BANK PLC 84S0VF8TSMH0T6D4K848	3.27	2.9	11.74	88.26	0

3 Table 1 Top five execution venues ranked in terms of trading volumes – Retail clients

Class of instrument	Equity – Shares & Depositary Receipts (T56)- execution				
Notification if < 1 average trade per business day in the previous year	N				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
WINTERFLOOD SECURITIES LIMITED 8BRUP6V1DX3PIG2R0745	43.29	55.92	9.63	90.37	0
PEEL HUNT LLP 5493007DWN0R4YBM4C84	33.78	27.88	11.53	88.47	0.01
SHORE CAPITAL STOCKBROKERS LIMITED 2138009KZO3MK7TV6372	11.2	7.03	9.29	90.71	0
INVESTEC BANK PLC 84S0VF8TSMH0T6D4K848	5.19	4.31	9.22	90.78	0.05
STIFEL NICOLAUS EUROPE LIMITED 213800BVEFNZ8UYPKL03	3.42	1.74	14.17	85.83	0

4 Table 1 Top five execution venues ranked in terms of trading volumes – Retail clients

Class of instrument	Equity – Shares & Depositary Receipts (T12) - placement/transmission				
Notification if < 1 average trade per business day in the previous year	N				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
JARVIS INVESTMENT MANAGEMENT LIMITED 213800HBDYZM8J5CHJ19	91.54	82.5	6.06	93.94	0
IG MARKETS LIMITED 21380017XKSVQ3LC3V75	7.79	7.5	0	100	0
THE SHARE CENTRE LIMITED 213800QSAMQL5CUAFJ35	0.28	2.5	100	0	0
PERSHING SECURITIES LIMITED 549300NC3GURNOAEZU06	0.23	5	0	100	0
CREDIT SUISSE SECURITIES (EUROPE) LIMITED DL6FFRRL74S01HE2M14	0.16	2.5	100	0	0

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Class of instrument	Equity – Shares & Depositary Receipts (T34) - placement/transmission				
Notification if < 1 average trade per business day in the previous year	N				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
JARVIS INVESTMENT MANAGEMENT LIMITED 213800HBDYZM8J5CHJ19	99.25	94.74	0	100	0
IG MARKETS LIMITED 21380017XKSVQ3LC3V75	0.75	5.26	0	100	0

6 Table 1 Top five execution venues ranked in terms of trading volumes – Retail clients

Class of instrument	Equity – Shares & Depositary Receipts (T56) - placement/transmission				
Notification if < 1 average trade per business day in the previous year	N				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
JARVIS INVESTMENT MANAGEMENT LIMITED 213800HBDYZM8J5CHJ19	100	100	0	100	0

7 Table 1 Top five execution venues ranked in terms of trading volumes – Retail clients

Class of instrument	Equity – Exchange-traded products (Exchange traded funds, exchange traded notes and exchange traded commodities) – execution				
Notification if < 1 average trade per business day in the previous year	N				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
WINTERFLOOD SECURITIES LIMITED 8BRUP6V1DX3PIG2R0745	64.67	52.2	10.33	89.67	0
PEEL HUNT LLP 5493007DWN0R4YBM4C84	22.58	24.17	9.81	90.19	0
INVESTEC BANK PLC 84S0VF8TSMH0T6D4K848	6.42	15.5	7.72	92.28	0
WINTERFLOOD GILTS LIMITED 213800BD519H2YV9R748	3.81	7	1.83	98.17	0
STIFEL NICOLAUS EUROPE LIMITED 213800BVEFNZ8UYPKL03	2.5	1.11	5.41	94.59	0

8 Table 1 Top five execution venues ranked in terms of trading volumes – Retail clients

Class of instrument	Equity – Exchange-traded products (Exchange traded funds, exchange traded notes and exchange traded commodities) – placement/transmission				
Notification if < 1 average trade per business day in the previous year	N				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
JARVIS INVESTMENT MANAGEMENT LIMITED 213800HBDYZM8J5CHJ19	100	100	0	100	0

9 Table 1 Top five execution venues ranked in terms of trading volumes – Retail clients

Class of instrument	Debt – bonds – execution				
Notification if < 1 average trade per business day in the previous year	N				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
KING & SHAXSON LIMITED 213800ARTVZQ5ADRZ559	36.44	6.79	0	100	0
FBN BANK (UK) LIMITED 213800DD8GVBP1HM239	35.05	6.79	0	100	0
WINTERFLOOD SECURITIES LIMITED 8BRUP6V1DX3PIG2R0745	16.96	44.5	1.05	98.95	0
PEEL HUNT LLP 5493007DWN0R4YBM4C84	4.55	15.34	0	100	0
WINTERFLOOD GILTS LIMITED 213800BD519H2YV9R748	4.49	15.22	0.77	99.23	0

10 Table 1 Top five execution venues ranked in terms of trading volumes – Retail clients

Class of instrument	Debt – bonds – placement/transmission				
Notification if < 1 average trade per business day in the previous year	Y				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
SG OPTION EUROPE 969500FDN8G43HMHZM 83	66.21	50	0	100	0
NCL INVESTMENTS LIMITED D2F0VEF263OY4T3YK397	33.79	50	0	100	0

11 Table 1 Top five execution venues ranked in terms of trading volumes – Retail clients

Class of instrument	Structured Finance instruments – execution				
Notification if < 1 average trade per business day in the previous year	Y				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
WINTERFLOOD SECURITIES LIMITED 8BRUP6V1DX3PIG2R0745	99.67	93.68	4.09	95.91	0
PEEL HUNT LLP 5493007DWN0R4YBM4C84	0.33	6.32	5.26	94.74	0

12 Table 1 Top five execution venues ranked in terms of trading volumes – Retail clients

Class of instrument	Securitized Derivatives, Other securitized derivatives – execution				
Notification if < 1 average trade per business day in the previous year	Y				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
WINTERFLOOD SECURITIES LIMITED 8BRUP6V1DX3PIG2R0745	98.78	95.74	4.44	95.56	0
CANTOR FITZGERALD EUROPE 549300KM6VUHPKQLQX53	0.82	2.13	0	100	0
INVESTECH BANK PLC 84SOVF8TSMH0T6D4K848	0.4	2.13	0	100	0

13 Table 1 Top five execution venues ranked in terms of trading volumes – Retail clients

Class of instrument	Other instruments – execution				
Notification if < 1 average trade per business day in the previous year	Y				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
WINTERFLOOD SECURITIES LIMITED 8BRUP6V1DX3PIG2R0745	26.8	49.48	48.42	51.58	0
FUNDSMITH LLP 213800M2ZZU4WORQU863	25.14	7.81	0	100	0
J O Hambro Capital Management	16.87	2.09	0	100	0
Sarasin Investment Funds Ltd	5.65	1.56	0	100	0
PEEL HUNT LLP 5493007DWN0R4YBM4C84	4.76	5.73	27.27	72.73	0

14 Table 1 Top five execution venues ranked in terms of trading volumes – Retail clients

Class of instrument	Other instruments – placement/ transmission				
Notification if < 1 average trade per business day in the previous year	Y				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
JARVIS INVESTMENT MANAGEMENT LIMITED 213800HBDYZM8J5CHJ19	26.87	11.63	0	100	0
FUNDSMITH LLP 213800M2ZZU4WORQU863	19.97	40.7	0	100	0
Natixis Investment Managers S.A.  5493004FNBJP406R4B02	17.05	10.47	0	100	0
LEGAL & GENERAL (UNIT TRUST MANAGERS) LIMITED 549300AJXEFOY444EO24	16.75	5.81	0	100	0
RIVER AND MERCANTILE ASSET MANAGEMENT LLP 213800HIGHFCAY9K8Z97	9.23	5.81	0	100	0

15 Table 2 Top five execution venues ranked in terms of trading volumes – Professional clients

Class of instrument	Equity – Shares & Depository Receipts (T12) - Execution				
Notification if < 1 average trade per business day in the previous year	N				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
PEEL HUNT LLP 5493007DWN0R4YBM4C84	34.2	24.93	0.44	99.56	0
WINTERFLOOD SECURITIES LIMITED 8BRUP6V1DX3PIG2R0745	23.31	31.14	0.59	99.41	0
CANTOR FITZGERALD EUROPE 549300KM6VUHPKQLQX53	11.44	14.87	1.23	98.77	0
NPLUS1 SINGER CAPITAL MARKETS LIMITED 213800I07QMNQ9SFG53	10.22	8.73	0	100	0.42
STIFEL NICOLAUS EUROPE LIMITED 213800BVEFNZ8UYPKL03	7.82	5.96	0	100	0

16 Table 2 Top five execution venues ranked in terms of trading volumes – Professional clients

Class of instrument	Equity – Shares & Depository Receipts (T34) - Execution				
Notification if < 1 average trade per business day in the previous year	Y				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
WINTERFLOOD SECURITIES LIMITED 8BRUP6V1DX3PIG2R0745	37.13	34.31	3.15	96.85	0
PEEL HUNT LLP 5493007DWN0R4YBM4C84	27.17	29.52	2.62	97.38	0
STIFEL NICOLAUS EUROPE LIMITED 213800BVEFNZ8UYPKL03	13.24	8.35	0	100	0
SHORE CAPITAL STOCKBROKERS LIMITED 2138009KZO3MK7TV6372	5.89	10.05	3.08	96.92	0
CANTOR FITZGERALD EUROPE 549300KM6VUHPKQLQX53	4.28	4.64	0	100	0

17 Table 2 Top five execution venues ranked in terms of trading volumes – Professional clients

Class of instrument	Equity – Shares & Depository Receipts (T56) - Execution				
Notification if < 1 average trade per business day in the previous year	N				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
STIFEL NICOLAUS EUROPE LIMITED 213800BVEFNZ8UYPKL03	82.43	62.79	0	100	0
WINTERFLOOD SECURITIES LIMITED 8BRUP6V1DX3PIG2R0745	8.13	17.09	0.44	99.56	0
PEEL HUNT LLP 5493007DWN0R4YBM4C84	6.15	13.82	0.55	99.45	0
SHORE CAPITAL STOCKBROKERS LIMITED 2138009KZO3MK7TV6372	1.54	3.04	0	100	0
INVESTEC BANK PLC 8450VF8TSMH0T6D4K848	1.14	2.13	0	100	0

18 Table 2 Top five execution venues ranked in terms of trading volumes – Professional clients

Class of instrument	Equity – Shares & Depositary Receipts (T12) – Placement/transmission				
Notification if < 1 average trade per business day in the previous year	Y				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
JARVIS INVESTMENT MANAGEMENT LIMITED 213800HBDYZM8J5CHJ19	100	100	0	100	0

19 Table 2 Top five execution venues ranked in terms of trading volumes – Professional clients

Class of instrument	Equity – Exchange traded products (Exchange traded funds, exchange traded notes and exchange traded commodities) – execution				
Notification if < 1 average trade per business day in the previous year	Y				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
WINTERFLOOD SECURITIES LIMITED 8BRUP6V1DX3PIG2R0745	31.87	34.78	0	100	0
PEEL HUNT LLP 5493007DWNOR4YBM4C84	25.46	21.79	0	100	0
INVESTEC BANK PLC 84S0VF8TSMH0T6D4K848	20.34	19.94	0	100	0
WINTERFLOOD GILTS LIMITED 213800BD519H2YV9R748	19.56	21.64	0	100	0
STIFEL NICOLAUS EUROPE LIMITED 213800BVEFNZ8UYPKL03	2.77	1.85	0	100	0

20 Table 2 Top five execution venues ranked in terms of trading volumes – Professional clients

Class of instrument	Debt – bonds – execution				
Notification if < 1 average trade per business day in the previous year	Y				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
PEEL HUNT LLP 5493007DWNOR4YBM4C84	58.3	45.24	0	100	0
WINTERFLOOD GILTS LIMITED 213800BD519H2YV9R748	34.84	35.71	0	100	0
WINTERFLOOD SECURITIES LIMITED 8BRUP6V1DX3PIG2R0745	6.86	16.67	0	100	0
KING & SHAXSON LIMITED 213800ARTVZQSADRZ559	0	2.38	0	100	0

21. Table 2 Top five execution venues ranked in terms of trading volumes – Professional clients

Class of instrument	Structured Finance instruments – execution				
Notification if < 1 average trade per business day in the previous year	Y				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
WINTERFLOOD SECURITIES LIMITED 8BRUP6V1DX3PIG2R0745	100	100	0	100	0

22. Table 2 Top five execution venues ranked in terms of trading volumes – Professional clients

Class of instrument	Other instruments – execution				
Notification if < 1 average trade per business day in the previous year	Y				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Investec Fund Managers Ltd	100	100	0	100	0